

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: R. Raymond May Confirmation No.: 8236
Appl. No.: 09/680,365 Group Art Unit: 3624
Patent No. 6,996,540; Issued February 7, 2006 Examiner: Jagdish Patel
Filed: October 5, 2000
For: SYSTEMS FOR SWITCH AUCTIONS UTILIZING RISK POSITION
PORTFOLIOS OF A PLURALITY OF TRADERS

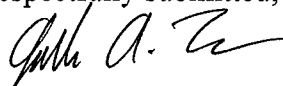
Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97**

Attached is a list of documents on form PTO-1449 along with a copy of any cited foreign patent documents and non-patent literature documents in accordance with 37 CFR 1.98(a)(2).

It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By identifying the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead identifying the listed documents for the sake of full disclosure.

Respectfully submitted,



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